

## **U.S. Global GO GOLD and Precious Metal Miners Index (GOAUX)**

### **Methodology Revision, Effective September 2020**

If a precious metals company announces that it is being acquired by another company in exchange for shares of the other company, such company will be removed from GOAUX, the index. If a precious metals company announces that it is acquiring another company, such company will be removed from the index if the index provider determines that the transaction is dilutive (i.e., such transaction is not expected to increase the acquiring firm's per share revenue, cash flow, or reserves). In such event, the precious metals company removed from the index will not be eligible to be added to the index for the two reconstitution dates following the company's removal from the Index.

If a precious metals company is removed from the index due to its announcement of acquiring or being acquired by another company, the weight of such position will be re-allocated equally among the remaining index constituents.